

**ATTACHMENT A  
CITY OF LANCASTER  
MONTHLY REPORT OF INVESTMENTS  
September 30, 2016**

	Interest Rate	Amount	Total
<b><u>City of Lancaster</u></b>			
<b>Wells Fargo Bank</b>			
City of Lancaster Account (note 1)	0.00%	\$3,848,131	
Certificate of Deposit	0.10%	\$100,000	
			<b>\$3,948,131</b>
<b>Bank of America</b>			
Certificate of Deposit	0.05%	\$100,000	
			<b>\$100,000</b>
<b>U S Bank - Safekeeping (note 2)</b>			
US Treasury Notes	0.97%	\$6,747,805	
Federal Government Agencies	1.09%	\$18,822,239	
Corporate Securities	0.78%	\$6,252,309	
Cash & Equivalents	0.00%	\$107,910	
			<b>\$31,930,262</b>
<b>California Bank &amp; Trust</b>			
Certificate of Deposit	0.01%	\$100,000	
			<b>\$100,000</b>
<b>Chase Bank</b>			
Certificate of Deposit	0.01%	\$150,889	
			<b>\$150,889</b>
<b>Mission Bank</b>			
Certificate of Deposit	0.20%	\$200,674	
			<b>\$200,674</b>
<b>Local Agency Investment Fund (L.A.I.F.)</b>	0.63%	\$29,965,616	<b>\$29,965,616</b>
<b>Total City of Lancaster</b>			<b>\$66,395,571</b>
<b><u>Successor Agency for the Lancaster Redevelopment Agency</u></b>			
<b>Local Agency Investment Fund (L.A.I.F.)</b>	0.63%	\$2,857,301	<b>\$2,857,301</b>
<b>Total Lancaster Successor Agency</b>			<b>\$2,857,301</b>
<b><u>Lancaster Financing Authority</u></b>			
<b>Local Agency Investment Fund (L.A.I.F.)</b>	0.63%	\$12,618	<b>\$12,618</b>
<b>Total Lancaster Financing Authority</b>			<b>\$12,618</b>
<b><u>Lancaster Housing Authority</u></b>			
<b>Local Agency Investment Fund (L.A.I.F.)</b>	0.00%	\$0	<b>\$0</b>
<b>Total Lancaster Housing Authority</b>			<b>\$0</b>
<b>Total Pooled Portfolio (note 3)</b>			<b>\$69,265,490</b>
<b>Weighted Average</b>	<b>0.76%</b>		

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	Interest Rate	Amount	Total
<b>Wilmington Trust</b>			<b>\$4,407,378</b>
Lancaster Choice Energy LockBox Account	0.00%	\$4,407,378	
<b>The Bank of New York Mellon Trust Company, N.A.</b>			<b>\$1,483,822</b>
LRA & LA County Escrow Account - Government Bonds	0.00%	\$1,483,822	
<b>US Bank</b>			<b>\$14,022,030</b>
CFD 89-1 1990 Special Bonds	0.24%	\$130	
LFA CFD 89-1 1997 Special Bonds	0.25%	\$1,685	
LFA L O BONDS 1997 SERIES A & B	0.15%	\$584,951	
CFD 91-2 1999 Special Bonds	5.55%	\$699,247	
AD 93-3 1994 Limited Improvement Bonds	0.25%	\$453,730	
LRA Amargosa 1999 Refunding Bonds	0.00%	\$0	
LRA Combined Project Areas 2003 Housing Refunding Bonds	4.12%	\$5,003,545	
LRA CBD 1994 Refunding Bonds	0.00%	\$0	
LFA Combined Project Areas 2003 ED Revenue Bonds	0.00%	\$0	
LFA Combined Project Areas 2003B ED Refunding Bonds	0.00%	\$0	
LFA Combined Project Areas 2004B ED Revenue Bonds	0.00%	\$0	
LFA Combined Project Areas 2006 ED Revenue Bonds	0.00%	\$0	
LFA Combined Project Areas 2004 School Districts Bonds	0.00%	\$0	
LFA Combined Project Areas 2006 School Districts Bonds	0.00%	\$0	
LRA Combined 2004 Fire Protection Facilities Project Bonds	0.25%	\$829,330	
LRA Combined 2004 Library Project Refunding Bonds	0.00%	\$32	
LRA Combined 2004 Sheriff Facilities Prjct Refunding Bonds	0.25%	\$1,769,963	
LRA Combined Housing Tax Allocation Bonds 2009	0.25%	\$2,021,699	
LRA Public Capital Facilities 2010 Project Lease Revenue Bonds	0.25%	\$414,330	
LPA Solar Renewable Energy Issue of 2012A	0.25%	\$2,217,226	
SA Combined Project Areas Refunding Bonds 2015A & B	0.25%	\$12,504	
SA Combined Project Areas Refunding Bonds 2016 A-1 & A-2	0.25%	\$8,389	
SA Combined Project Areas Refunding Bonds 2016B	0.25%	\$5,270	
<b>Total Restricted Cash/Investments Held in Trust</b>		<b><u>\$14,022,030</u></b>	
<b>Total Restricted Cash/Investments Held in Trust (note 4)</b>			<b><u><u>\$19,913,230</u></u></b>

All investments are authorized pursuant to and consistent with the investment policy of the City of Lancaster. Policy adopted 01/13/2015 under resolution number 15-02.



Pamela Statsmann  
Acting Finance Director

**ATTACHMENT A  
CITY OF LANCASTER  
MONTHLY REPORT OF INVESTMENTS  
SEPTEMBER 30, 2016**

- (1) This is the actual City bank account balance as of 09/30/2016. It only reflects checks that have been presented for payment and deposits received by the bank. The balance on deposit per the City books would reflect reductions for all checks and warrants issued and all deposits transmitted.
- (2) This is the safekeeping account utilized for investing City funds pursuant and consistent with the investment policy adopted 01/13/2015. The current portfolio consists of treasury notes, government agencies, corporates, and CDs.

(3) Pooled Portfolio:

	<u>% of Portfolio</u>	<u>Policy Limit</u>
Cash	5.96%	None
CDs	0.98%	25% of total portfolio
Commercial Paper	0.00%	25% of total portfolio
US Treasury	10.16%	None
Federal Securities	28.35%	None
Corporate Securities	9.42%	25% of total portfolio
LAIF	45.13%	None

- (4) These are restricted cash and investments are held in trust by the banks indicated. These amounts cannot be pooled for other investing.

City of Lancaster  
Cash Balance Report  
September 30, 2016

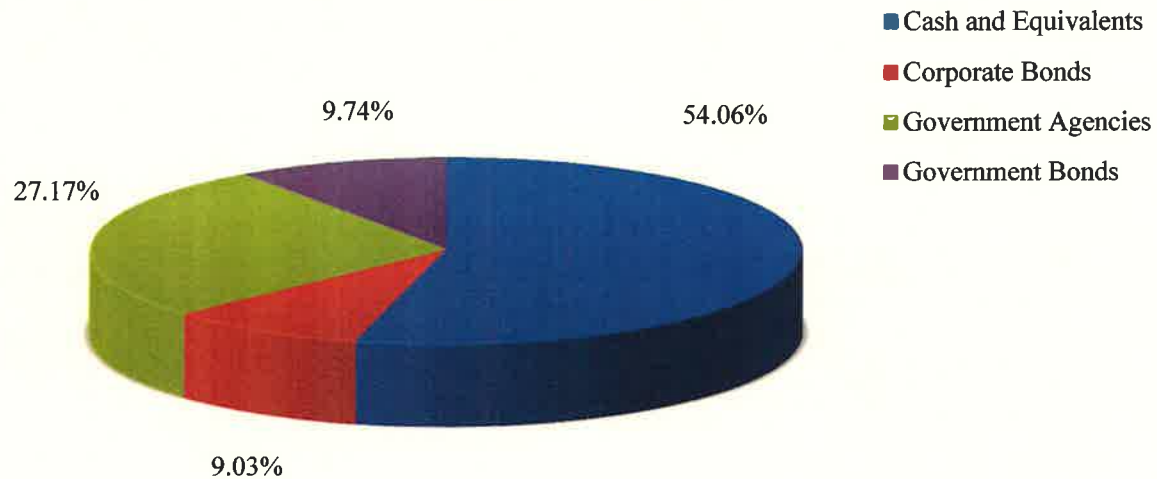
Fund No.	Fund Name	Ending Balance	Fund No.	Fund Name	Ending Balance
101	GENERAL FUND	\$ 15,563,251	330	STATE GRANT RECYCLING	\$ (204,793)
104	CAPITAL REPLACEMENT FUND	\$ 2,457,706	331	STATE GRANT - OIL RECYCLING	\$ (17,115)
106	COMMUNITY SERVICES FOUNDATION	\$ 113,590	349	MISC STATE GRANTS	\$ (337,476)
109	CITY SPECIAL RESERVES FUND	\$ (2,605,266)	361	CDBG	\$ (683,481)
150	CAPITAL PROJECTS FUND - CITY	\$ 376,937	363	NBRHD STABILIZATION PRGM	\$ 1,569,643
203	GAS TAX	\$ 1,176,771	364	HPRP-HOMELESS PREV & RAPID REH	\$ (2,522)
204	AQMD	\$ 217,618	371	FEDERAL TEA	\$ 29,263
205	PROP 1B	\$ 274,512	381	EDA	\$ 19,494
206	TDA ARTICLE 8 FUND	\$ 3,725,694	382	EDI	\$ 139,653
207	PROP "A" TRANSIT FUND	\$ 2,241,173	390	OES / FEMA	\$ 276,825
208	TDA ARTICLE 3 BIKEWAY FUND	\$ 2,990	391	LANCASTER HOME PROGRAM	\$ 645,947
209	PROPOSITION "C" FUND	\$ 6,800,659	399	FEDERAL MISCELLANEOUS GRANTS	\$ (879,994)
210	MEASURE R FUND	\$ 3,638,037	401	AGENCY FUND	\$ 692,219
213	PARKS DEVELOPMENT FUND	\$ 787,886	402	PERFORMING ARTS CENTER	\$ (1,490,505)
217	SIGNALS - DEVELOPER FEES FUND	\$ 3,746,551	404	GRANTS FUND	\$ (291,754)
220	DRAINAGE - DEVELOPER FEES FUND	\$ 3,562,995	408	X-AEROSPACE GRANTS FUND	\$ (86,205)
224	BIOLOGICAL IMPACT FEE FUND	\$ 1,243,524	409	X-REIMBURSABLE GRANTS FUND	\$ 25,506
226	USP - OPERATION	\$ 2,569	456	STILL MEADOW LN SWR ASSMNT DST	\$ 12,118
227	USP - PARKS	\$ 1,198,476	480	SEWER MAINT FUND	\$ 5,849,805
228	USP - ADMIN	\$ 49,653	481	FOX FIELD LANDSCAPE MAINT DIST	\$ 435
229	USP - CORP YARD	\$ 116,828	482	LANDSCAPE MAINTENANCE DISTRICT	\$ 2,513,212
230	MARIPOSA LILY FUND	\$ 62,733	483	LIGHTING MAINTENANCE DISTRICT	\$ (887,804)
232	TRAFFIC IMPACT FEES FUND	\$ 2,346,001	484	DRAINAGE MAINTENANCE DISTRICT	\$ 1,106,963
248	TRAFFIC SAFETY FUND	\$ 357,800	485	RECYCLED WATER FUND	\$ (299,804)
251	ENGINEERING FEES	\$ (1,039,216)	486	LANCASTER POWER AUTHORITY	\$ 2,324,615
252	PROP 42 CONGESTION MANAGEMENT	\$ 732,157	490	LANCASTER CHOICE ENERGY	\$ (846,732)
261	LOS ANGELES COUNTY REIMB	\$ (172,810)	701	LANCASTER FINANCING AUTHORITY	\$ 5,083
299	PRIVATE DEVELOPER REIMBURSEMEN	\$ (35,915)	810	ASSESSMENT DISTRICT FUND	\$ 154,596
301	LANCASTER HOUSING AUTH. OPS.	\$ 4,122,513	811	AD 93-3	\$ 145,828
306	LOW & MOD INCOME HOUSING	\$ 5,373,465	812	AD 92-101	\$ 87,646
315	LOCAL PRIVATE GRANTS	\$ 6,206	820	CFD 89-1 EASTSIDE WATER FUND	\$ 481,150
320	STATE GRANTS - PARKS	\$ (6,389)	830	CFD 90-1 (BELLE TIERRA)	\$ 445,133
321	MTA GRANT - LOCAL	\$ 135,126	831	CFD 91-1 (QUARTZ HILL)	\$ 770,534
323	STATE GRANT - STPL	\$ (572,565)	832	CFD 91-2 (LANC BUSINESS PARK)	\$ 414,952
324	STATE GRANT - OTS	\$ 1,885	833	REDEV OBLIGATION RETIREMENT FD	\$ 3,329,653
327	MTA GRANT - MEASURE R	\$ (6,648)	991	<b>Report Final Totals</b>	<b>\$ 71,008,585</b>

\* Variance from portfolio balance due to deposits in transit and outstanding checks at month end

**City of Lancaster  
Recap of Securities Held  
September 30, 2016**

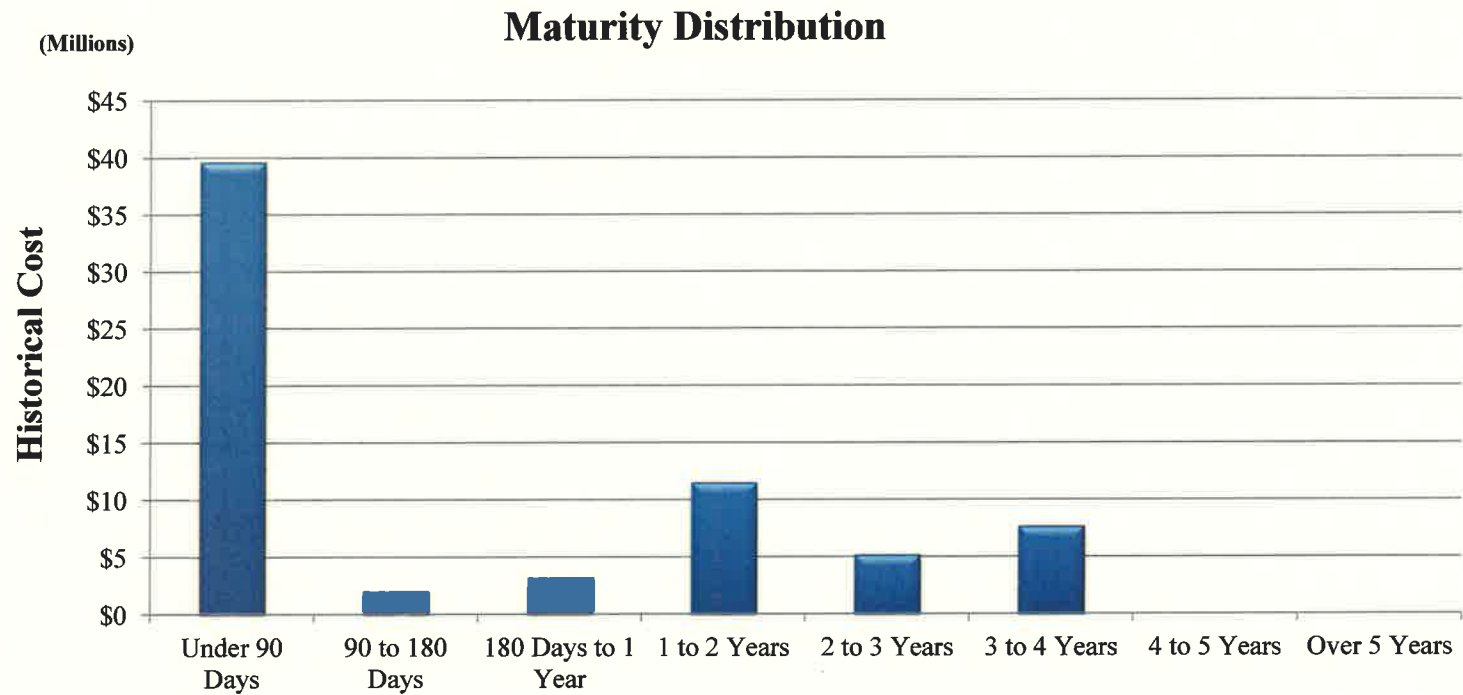
	Historical Cost	Amortized Cost	Fair Value	Unrealized Gain (Loss)	Weighted Average Effective Maturity (Days)	% Portfolio/ Segment	Weighted Average Market Duration (Years)
Cash and Equivalents	\$37,443,138	\$37,443,138	\$37,443,138	\$0	1	54.06%	0.00
Corporate Bonds	\$6,252,309	\$6,211,662	\$6,209,680	(\$1,982)	680	9.03%	1.81
Government Agencies	\$18,822,239	\$18,822,580	\$18,848,915	\$26,335	784	27.17%	1.54
Government Bonds	\$6,747,805	\$6,717,271	\$6,737,367	\$20,097	366	9.74%	0.99
<b>TOTAL</b>	<b>\$69,265,490</b>	<b>\$69,194,650</b>	<b>\$69,239,100</b>	<b>\$44,450</b>	<b>673</b>	<b>100.00%</b>	<b>1.47</b>

**Portfolio Diversification**



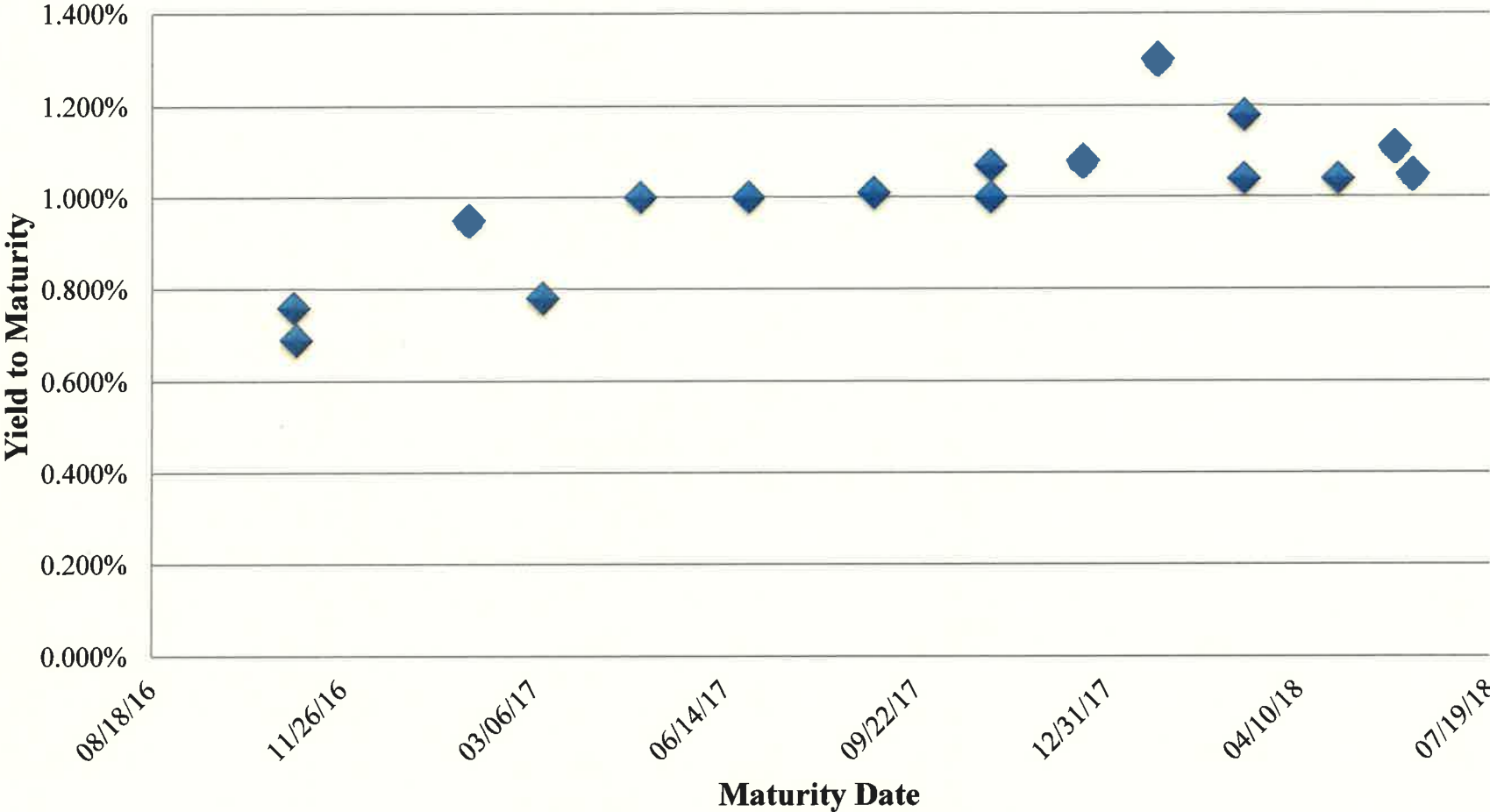
City of Lancaster  
Maturity Distribution  
September 30, 2016

Maturity	Historical Cost	Percent
Under 90 Days	\$39,643,156	57.23%
90 to 180 Days	\$2,041,200	2.95%
180 Days to 1 Year	\$3,172,897	4.58%
1 to 2 Years	\$11,557,446	16.69%
2 to 3 Years	\$5,193,666	7.50%
3 to 4 Years	\$7,657,125	11.05%
4 to 5 Years	\$0	0.00%
Over 5 Years	\$0	0.00%
	<b>\$69,265,490</b>	<b>100.00%</b>



City of Lancaster  
Securities Held  
September 30, 2016

**Securities Held**



# SECURITIES HELD

CITY OF LANCASTER

As of September 30, 2016

Cusip/ Description	Coupon	Maturity/ Call date	Par value or shares	Historical cost/ Accrued interest purchased	Amortized cost/ Accretion (amortization)	Fair value/ Change in fair value	Unrealized gain (loss)	Interest received	Interest earned	Total accrued interest	% Port cost
<b>Cash and Cash Equivalents</b>											
Cash and Cash Equivalents	0.000		107,909.61	107,909.61 0.00	107,909.61 0.00	107,909.61 0.00	0.00	0.00	0.00	0.00	0.34
<b>Total Cash and Cash Equivalents</b>			<b>107,909.61</b>	<b>107,909.61</b> <b>0.00</b>	<b>107,909.61</b> <b>0.00</b>	<b>107,909.61</b> <b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.34</b>
<b>Corporate Bonds</b>											
084670BD9 BERKSHIRE HATHAWAY INC 1.9% 31/01/2017	1.900	01/31/2017	1,000,000.00	1,038,420.00 0.00	1,003,081.82 (770.45)	1,003,103.00 (958.00)	21.18	0.00	1,583.34	3,166.67	3.25
88579YAE1 3M COMPANY 1% 26/06/2017	1.000	06/26/2017	1,150,000.00	1,149,850.50 0.00	1,149,967.22 3.70	1,150,828.00 (9.20)	860.78	0.00	926.39	3,002.78	3.60
90331HMQ3 US BANK NA CINCINNATI 1.35% 26/01/2018 (CALLABLE 26/12/17)	1.350	01/26/2018 12/26/2017	600,000.00	600,816.00 0.00	600,374.56 (23.60)	600,954.60 (529.80)	580.04	0.00	652.50	1,440.00	1.88
68389XAQ8 ORACLE CORP 2.375% 15/01/2019	2.375	01/15/2019	1,000,000.00	1,028,110.00 0.00	1,024,540.48 (892.38)	1,025,338.00 (2,139.00)	797.52	0.00	1,913.20	4,947.92	3.22
717081DU4 PFIZER INC 1.45% 03/06/2019	1.450	06/03/2019	1,400,000.00	1,412,502.00 0.00	1,411,498.97 (358.23)	1,408,296.40 (2,758.00)	(3,202.57)	0.00	1,635.28	6,597.50	4.42
89236TCF0 TOYOTA MOTOR CREDIT CORP 2.15% 12/03/2020	2.150	03/12/2020	1,000,000.00	1,022,610.00 (179.17)	1,022,198.91 (411.09)	1,021,160.00 (1,450.00)	(1,038.91)	0.00	895.83	1,075.00	3.20
<b>Total Corporate Bonds</b>			<b>6,150,000.00</b>	<b>6,252,308.50</b> <b>(179.17)</b>	<b>6,211,661.96</b> <b>(2,452.05)</b>	<b>6,209,680.00</b> <b>(7,844.00)</b>	<b>(1,981.96)</b>	<b>0.00</b>	<b>7,606.54</b>	<b>20,229.87</b>	<b>19.58</b>
<b>Government Agencies</b>											
3134G3S50 FREDDIE MAC 0.625% 01/11/2016	0.625	11/01/2016	1,000,000.00	997,440.00 0.00	999,945.12 53.11	1,000,240.00 (140.00)	294.88	0.00	503.48	2,586.81	3.12



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CITY OF LANCASTER

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<b>Government Agencies</b>											
3133782N0 FEDERAL HOME LOAN BANK 0.875% 10/03/2017	0.875	03/10/2017	1,000,000.00	1,002,780.00 0.00	1,000,409.95 (76.87)	1,001,840.00 170.00	1,430.05	4,375.00	704.86	486.11	3.14
3133EEFE5 FEDERAL FARM CREDIT BANK 1.125% 18/12/2017	1.125	12/18/2017	1,135,000.00	1,136,357.46 0.00	1,135,549.00 (37.60)	1,139,923.63 340.50	4,374.63	0.00	1,028.59	3,617.81	3.56
3133ECHS6 FEDERAL FARM CREDIT BANK 1.03% 12/03/2018 (CALLABLE 12/10/16)	1.030	03/12/2018	1,450,000.00	1,448,985.00 0.00	1,449,696.02 17.47	1,449,666.50 1,696.50	(29.52)	7,467.50	1,203.10	746.75	4.54
3133EETE0 FEDERAL FARM CREDIT BANK 1.125% 12/03/2018	1.125	03/12/2018	1,400,000.00	1,397,737.60 0.00	1,398,908.53 62.73	1,406,986.00 2,156.00	8,077.47	7,875.00	1,268.75	787.50	4.38
3135GOWN9 FANNIE MAE 1% 30/04/2018 (CALLABLE 30/10/16) #0003	1.000	04/30/2018 10/30/2016	1,450,000.00	1,447,390.00 0.00	1,449,169.35 43.72	1,449,782.50 (420.50)	613.15	0.00	1,208.34	6,041.67	4.53
3130A5UU1 FEDERAL HOME LOAN BANK 1.05% 30/05/2018	1.050	05/30/2018	1,000,000.00	998,440.00 0.00	999,083.88 47.41	1,003,510.00 980.00	4,426.12	0.00	875.00	3,500.00	3.13
313379DT3 FEDERAL HOME LOAN BANK 1.25% 08/06/2018	1.250	06/08/2018	1,000,000.00	1,005,540.00 0.00	1,003,361.60 (165.87)	1,006,793.00 944.00	3,431.40	0.00	1,006.95	3,888.89	3.15
3136G0M73 FANNIE MAE 1.125% 03/10/2018 CALLABLE	1.125	10/03/2018	1,250,000.00	1,253,054.10 0.00	1,252,619.35 (108.69)	1,255,287.50 812.50	2,668.15	0.00	1,132.81	6,914.06	3.92
3135G0L76 FANNIE MAE 1.075% 11/07/2019 (CALLABLE 11/01/17)	1.075	07/11/2019 01/11/2017	1,500,000.00	1,500,000.00 0.00	1,500,000.00 0.00	1,496,640.00 1,080.00	(3,360.00)	0.00	1,298.96	3,538.54	4.70

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CITY OF LANCASTER

As of September 30, 2016

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<b>Government Agencies</b>											
3137EADM8 FREDDIE MAC 1.25% 02/10/2019	1.250	10/02/2019	1,500,000.00	1,508,340.00 0.00	1,507,647.36 (212.03)	1,510,590.00 1,057.50	2,942.64	0.00	1,510.41	9,270.83	4.72
3133EFKY2 FEDERAL FARM CREDIT BANK 1.36% 28/10/2019 (CALLABLE 28/10/16)	1.360	10/28/2019 10/28/2016	1,500,000.00	1,497,645.00 0.00	1,498,200.46 48.73	1,500,030.00 270.00	1,829.54	0.00	1,643.33	8,613.33	4.69
3135G0A78 FANNIE MAE 1.625% 21/01/2020	1.625	01/21/2020	1,600,000.00	1,628,529.60 (3,466.67)	1,627,989.09 (540.51)	1,628,785.60 256.00	796.51	0.00	1,516.66	4,983.33	5.10
3133EGFNO FEDERAL FARM CREDIT BANK 1.4% 15/06/2020 (CALLABLE 15/06/17)	1.400	06/15/2020 06/15/2017	1,000,000.00	1,000,000.00 0.00	1,000,000.00 0.00	1,000,150.00 1,180.00	150.00	0.00	1,127.77	4,083.33	3.13
3133EGPP4 FEDERAL FARM CREDIT BANK 1.36% 03/08/2020 (CALLABLE 03/08/17)	1.360	08/03/2020 08/03/2017	1,000,000.00	1,000,000.00 0.00	1,000,000.00 0.00	998,690.00 2,000.00	(1,310.00)	0.00	1,095.55	2,153.33	3.13
<b>Total Government Agencies</b>			<b>18,785,000.00</b>	<b>18,822,238.76</b> <b>(3,466.67)</b>	<b>18,822,579.71</b> <b>(868.40)</b>	<b>18,848,914.73</b> <b>12,382.50</b>	<b>26,335.02</b>	<b>19,717.50</b>	<b>17,124.56</b>	<b>61,212.29</b>	<b>58.95</b>
<b>Government Bonds</b>											
912828RM4 USA TREASURY 1% 31/10/2016	1.000	10/31/2016	1,200,000.00	1,202,578.13 0.00	1,200,243.66 (235.81)	1,200,793.20 (613.20)	549.54	0.00	978.26	4,989.13	3.77
912828S50 USA TREASURY 0.875% 30/04/2017	0.875	04/30/2017	1,000,000.00	995,781.25 0.00	999,259.62 104.77	1,001,973.00 (19.00)	2,713.38	0.00	713.32	3,637.91	3.12
912828NW6 USA TREASURY 1.875% 31/08/2017	1.875	08/31/2017	1,000,000.00	1,027,265.63 0.00	1,007,760.40 (694.96)	1,010,861.00 (741.00)	3,100.60	0.00	1,553.87	1,553.87	3.22

# SECURITIES HELD

CITY OF LANCASTER

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<b>Government Bonds</b>											
912828TWO USA TREASURY 0.75% 31/10/2017	0.750	10/31/2017	600,000.00	594,562.50 0.00	598,396.69 121.47	600,421.80 187.20	2,025.11	0.00	366.84	1,870.92	1.86
912828PF1 USA TREASURY 1.875% 31/10/2017	1.875	10/31/2017	1,140,000.00	1,156,699.22 0.00	1,149,840.61 (745.50)	1,154,383.38 (756.96)	4,542.77	0.00	1,742.53	8,886.89	3.62
912828VQ0 USA TREASURY 1.375% 31/07/2018	1.375	07/31/2018	1,750,000.00	1,770,917.97 0.00	1,761,769.66 (527.78)	1,768,935.00 136.50	7,165.34	0.00	1,961.62	3,988.62	5.55
<b>Total Government Bonds</b>			<b>6,690,000.00</b>	<b>6,747,804.70</b> <b>0.00</b>	<b>6,717,270.64</b> <b>(1,977.81)</b>	<b>6,737,367.38</b> <b>(1,806.46)</b>	<b>20,096.74</b>	<b>0.00</b>	<b>7,316.44</b>	<b>24,927.34</b>	<b>21.13</b>
<b>Grand total</b>			<b>31,732,909.61</b>	<b>31,930,261.57</b> <b>(3,645.84)</b>	<b>31,859,421.92</b> <b>(5,298.26)</b>	<b>31,903,871.72</b> <b>2,732.04</b>	<b>44,449.80</b>	<b>19,717.50</b>	<b>32,047.54</b>	<b>106,369.50</b>	<b>100.00</b>

# GASB 40 - DEPOSIT AND INVESTMENT RISK DISCLOSURE

CITY OF LANCASTER

As of September 30, 2016

Cusip	Description	Coupon	Maturity date	Call date	S&P rating	Moody rating	Par value or shares	Historical cost	% Portfolio hist cost	Market value	% Portfolio mkt value	Effective dur (yrs)
<b>Federal Farm Credit Banks</b>												
3133EEFE5	FEDERAL FARM CREDIT	1.125	12/18/2017		AA+	Aaa	1,135,000.00	1,136,357.46	3.56	1,139,923.63	3.57	1.20
3133ECHS6	FEDERAL FARM CREDIT	1.030	03/12/2018		AA+	Aaa	1,450,000.00	1,448,985.00	4.54	1,449,666.50	4.54	0.59
3133EETE0	FEDERAL FARM CREDIT	1.125	03/12/2018		AA+	Aaa	1,400,000.00	1,397,737.60	4.38	1,406,986.00	4.41	1.43
3133EFKY2	FEDERAL FARM CREDIT	1.360	10/28/2019	10/28/2016	AA+	Aaa	1,500,000.00	1,497,645.00	4.69	1,500,030.00	4.70	0.79
3133EGFNO	FEDERAL FARM CREDIT	1.400	06/15/2020	06/15/2017	AA+	Aaa	1,000,000.00	1,000,000.00	3.13	1,000,150.00	3.13	1.87
3133EGPP4	FEDERAL FARM CREDIT	1.360	08/03/2020	08/03/2017	AA+	Aaa	1,000,000.00	1,000,000.00	3.13	998,690.00	3.13	2.14
<b>Issuer total</b>							<b>7,485,000.00</b>	<b>7,480,725.06</b>	<b>23.43</b>	<b>7,495,446.13</b>	<b>23.49</b>	<b>1.26</b>
<b>United States Treasury Note/Bond</b>												
912828RM4	USA TREASURY 1%	1.000	10/31/2016		AA+	Aaa	1,200,000.00	1,202,578.13	3.77	1,200,793.20	3.76	0.08
912828S50	USA TREASURY 0.875%	0.875	04/30/2017		AA+	Aaa	1,000,000.00	995,781.25	3.12	1,001,973.00	3.14	0.58
912828NW6	USA TREASURY 1.875%	1.875	08/31/2017		AA+	Aaa	1,000,000.00	1,027,265.63	3.22	1,010,861.00	3.17	0.91
912828PF1	USA TREASURY 1.875%	1.875	10/31/2017		AA+	Aaa	1,140,000.00	1,156,699.22	3.62	1,154,383.38	3.62	1.07
912828TW0	USA TREASURY 0.75%	0.750	10/31/2017		AA+	Aaa	600,000.00	594,562.50	1.86	600,421.80	1.88	1.07
912828VQ0	USA TREASURY 1.375%	1.375	07/31/2018		AA+	Aaa	1,750,000.00	1,770,917.97	5.55	1,768,935.00	5.54	1.80
<b>Issuer total</b>							<b>6,690,000.00</b>	<b>6,747,804.70</b>	<b>21.13</b>	<b>6,737,367.38</b>	<b>21.12</b>	<b>0.99</b>
<b>Federal National Mortgage Association</b>												
3135G0WN9	FANNIE MAE 1%	1.000	04/30/2018	10/30/2016	AA+	Aaa	1,450,000.00	1,447,390.00	4.53	1,449,782.50	4.54	0.82
3136G0M73	FANNIE MAE 1.125%	1.125	10/03/2018		AA+	Aaa	1,250,000.00	1,253,054.10	3.92	1,255,287.50	3.93	1.97
3135G0L76	FANNIE MAE 1.075%	1.075	07/11/2019	01/11/2017	AA+	Aaa	1,500,000.00	1,500,000.00	4.70	1,496,640.00	4.69	1.59
3135G0A78	FANNIE MAE 1.625%	1.625	01/21/2020		AA+	Aaa	1,600,000.00	1,628,529.60	5.10	1,628,785.60	5.11	3.21
<b>Issuer total</b>							<b>5,800,000.00</b>	<b>5,828,973.70</b>	<b>18.26</b>	<b>5,830,495.60</b>	<b>18.28</b>	<b>1.93</b>
<b>Federal Home Loan Banks</b>												
3133782N0	FEDERAL HOME LOAN	0.875	03/10/2017		AA+	Aaa	1,000,000.00	1,002,780.00	3.14	1,001,840.00	3.14	0.44

# GASB 40 - DEPOSIT AND INVESTMENT RISK DISCLOSURE

CITY OF LANCASTER

As of September 30, 2016

Cusip	Description	Coupon	Maturity date	Call date	S&P rating	Moody rating	Par value or shares	Historical cost	% Portfolio hist cost	Market value	% Portfolio mkt value	Effective dur (yrs)
<b>Federal Home Loan Banks</b>												
3130A5UU1	FEDERAL HOME LOAN	1.050	05/30/2018		AA+	Aaa	1,000,000.00	998,440.00	3.13	1,003,510.00	3.15	1.64
313379DT3	FEDERAL HOME LOAN	1.250	06/08/2018		AA+	Aaa	1,000,000.00	1,005,540.00	3.15	1,006,793.00	3.16	1.66
<b>Issuer total</b>							<b>3,000,000.00</b>	<b>3,006,760.00</b>	<b>9.42</b>	<b>3,012,143.00</b>	<b>9.44</b>	<b>1.25</b>
<b>Federal Home Loan Mortgage Corp</b>												
3134G3S50	FREDDIE MAC 0.625%	0.625	11/01/2016		AA+	Aaa	1,000,000.00	997,440.00	3.12	1,000,240.00	3.14	0.08
3137EADM8	FREDDIE MAC 1.25%	1.250	10/02/2019		AA+	Aaa	1,500,000.00	1,508,340.00	4.72	1,510,590.00	4.73	2.93
<b>Issuer total</b>							<b>2,500,000.00</b>	<b>2,505,780.00</b>	<b>7.85</b>	<b>2,510,830.00</b>	<b>7.87</b>	<b>1.80</b>
<b>Pfizer Inc</b>												
717081DU4	PFIZER INC 1.45%	1.450	06/03/2019		AA	A1	1,400,000.00	1,412,502.00	4.42	1,408,296.40	4.41	2.61
<b>Issuer total</b>							<b>1,400,000.00</b>	<b>1,412,502.00</b>	<b>4.42</b>	<b>1,408,296.40</b>	<b>4.41</b>	<b>2.61</b>
<b>3M Co</b>												
88579YAE1	3M COMPANY 1%	1.000	06/26/2017		AA-	A1	1,150,000.00	1,149,850.50	3.60	1,150,828.00	3.61	0.73
<b>Issuer total</b>							<b>1,150,000.00</b>	<b>1,149,850.50</b>	<b>3.60</b>	<b>1,150,828.00</b>	<b>3.61</b>	<b>0.73</b>
<b>Oracle Corp</b>												
68389XAQ8	ORACLE CORP 2.375%	2.375	01/15/2019		AA-	A1	1,000,000.00	1,028,110.00	3.22	1,025,338.00	3.21	2.23
<b>Issuer total</b>							<b>1,000,000.00</b>	<b>1,028,110.00</b>	<b>3.22</b>	<b>1,025,338.00</b>	<b>3.21</b>	<b>2.23</b>
<b>Toyota Motor Credit Corp</b>												
89236TCF0	TOYOTA MOTOR CREDIT	2.150	03/12/2020		AA-	Aa3	1,000,000.00	1,022,610.00	3.20	1,021,160.00	3.20	3.33
<b>Issuer total</b>							<b>1,000,000.00</b>	<b>1,022,610.00</b>	<b>3.20</b>	<b>1,021,160.00</b>	<b>3.20</b>	<b>3.33</b>
<b>Berkshire Hathaway Inc</b>												
084670BD9	BERKSHIRE HATHAWAY	1.900	01/31/2017		AA	Aa2	1,000,000.00	1,038,420.00	3.25	1,003,103.00	3.14	0.33
<b>Issuer total</b>							<b>1,000,000.00</b>	<b>1,038,420.00</b>	<b>3.25</b>	<b>1,003,103.00</b>	<b>3.14</b>	<b>0.33</b>

# GASB 40 - DEPOSIT AND INVESTMENT RISK DISCLOSURE

CITY OF LANCASTER

As of September 30, 2016

Cusip	Description	Coupon	Maturity date	Call date	S&P rating	Moody rating	Par value or shares	Historical cost	% Portfolio hist cost	Market value	% Portfolio mkt value	Effective dur (yrs)
<b>US Bank NA/Cincinnati OH</b>												
90331HMQ3	US BANK NA CINCINNATI	1.350	01/26/2018	12/26/2017	AA-	A1	600,000.00	600,816.00	1.88	600,954.60	1.88	1.27
<b>Issuer total</b>							<b>600,000.00</b>	<b>600,816.00</b>	<b>1.88</b>	<b>600,954.60</b>	<b>1.88</b>	<b>1.27</b>
<b>Cash and Cash Equivalents</b>												
	INVESTED CASH	0.000					107,909.61	107,909.61	0.34	107,909.61	0.34	0.00
<b>Issuer total</b>							<b>107,909.61</b>	<b>107,909.61</b>	<b>0.34</b>	<b>107,909.61</b>	<b>0.34</b>	<b>0.00</b>
<b>Grand total</b>							<b>31,732,909.61</b>	<b>31,930,261.57</b>	<b>100.00</b>	<b>31,903,871.72</b>	<b>100.00</b>	<b>1.47</b>